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|  | <p align="center"><b>STAKEHOLDER ENGAGEMENT PLAN</b></p> <p align="center"><b>–2022–</b></p> <p align="center"><b>D4/R7 HIGHWAY PPP PROJECT</b></p> | <p>Code: ZBL-EBRD-STAKEHOLDER<br/>ENGAGEMENT PLAN 2022 -<br/>20200430</p> <p>Edition: 1.1</p> <p>Page:</p> <p>Date: May 29<sup>th</sup>, 2023</p> |
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## Concession for design, construction, financing, operation and maintenance of D4 highway sections Jarovce- Rača and R7 expressway sections Bratislava Prievoz-Holice

Koncesia na projektovanie, výstavbu, financovanie, prevádzku a údržbu úsekov diaľnice D4 Jarovce – Rača a rýchlostnej cesty R7 Bratislava Prievoz - Holice, projekt PPP

# STAKEHOLDER ENGAGEMENT PLAN



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|  | <b>STAKEHOLDER ENGAGEMENT PLAN</b><br><b>– 2022 –</b><br><b>D4/R7 HIGHWAY PPP PROJECT</b> |  |
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## 1. COMPANY DETAILS

**Client Name: Zero Bypass Limited**, a private company with limited liability incorporated under the laws of England and Wales, with company number 09905508 **and its Slovak branch** registered in Slovakia under Identification No.: 50 110 276, registered with the Commercial Register of the District Court Bratislava I, Section: Po, No.:3188/B

**Client Address:** Zero Bypass Limited, with its registered office at CMS Cameron McKenna LLP, Cannon Place, Cannon Street 78, London EC4N 6AF, United Kingdom, with the registered address Vlčie hrdlo 72b, 821 07 Bratislava, Slovak Republic

**Country:** Slovakia

### Client authorized representative:

**Name:** Jaime P. Lamela Pascua

**Title:** Head of the branch

I certify that, to the best of my knowledge and belief, the information contained in this report is true, complete and correct in all material respects and does not omit any material fact necessary.

Signature:

Jaime P. Lamela Pascua, Head of the branch

Date: May 29<sup>th</sup>, 2023

### Contact Details:

Telephone: +421 2 2211 6660


Mobile: +421 (0) 940 638 330

E-mail: zblldoccontrol@obchvatnula.com

### Reporting Period: 2022

In December 2022, the Final Occupation Permit for all subsections has been issued and the obligations with the construction period reporting came to an end.

In February 23<sup>rd</sup>, 2022, Concessionaire submitted their Annual Environmental & Social Report for the year 2022. The most relevant information has been included as an Annex at the end of this Stakeholder Engagement Plan.

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## 1-. THE 2022 STAKEHOLDER ENGAGEMENT PLAN

This document is the Stakeholder Engagement Plan (SEP) for the operation stage of the D4/R7 PPP Project. This document concentrates on the engagement mechanisms that the Concessionaire is undertaking limited to the Operational period.

The SEP is the responsibility of the Concessionaire and the Concessionaire is fully committed to implement it with complete transparency and accountability. Although some confidential information will remain confidential (as market-sensitive information and personal data pertaining to individuals), the main objective is maintaining a transparent and fair engagement strategy throughout the project.

## 2. STAKEHOLDER IDENTIFICATION

For the purposes of this SEP, a stakeholder is defined as any individual, organization or group potentially affected by the Project or who has an interest in the Project and its impacts. The objective of stakeholder identification was and is to determine which stakeholders may be directly or indirectly affected (“affected parties”), either positively or negatively, or have an interest in the Project (“interested parties”). To this end, concerted effort must be made to identify any disadvantaged or vulnerable stakeholders who may be differentially or disproportionately affected by the Project or who may have difficulty participating in the process. Stakeholder identification also remains an ongoing process and undergoes regular review and revision as the SEP proceeds.

Although Concessionaire agree to consider the following groups of stakeholders are affected by or interested in the operation period of the project, the Concessionaire will specify which stakeholders are considered as key for the development of closer relationships:

- Users of D4 and R7 motorways
- Providers of transport in the D4 and R7 motorways
- The general public and local business community
- Local community leaders
- National Government Organizations
- Region Government Organizations
- Municipal and District Government
- Local, national and international environmental and sustainability NGOs
- Local, national and international social NGOs
- Business and professional associations
- National and local press, TV and radio stations.
- International financial institutions

Key stakeholders of D4/ R7 PPP Project:

- Users of D4 and R7 motorways
- Transport companies using D4 and R7 motorways
- Employees of ZBL
- Providers of services for ZBL
- Shareholders of ZBL

- Lenders of D4/R7 PPP project
- Ministry of Transportation of the Slovak Republic
- Ministry of Environment of the Slovak Republic
- Ministry of interior of the Slovak Republic
- NDS, National Motorway Company
- SOP – State Nature Conservancy
- Police Presidium
- Traffic Police
- Birdlife NGO
- Municipalities all along D4 and R7 motorways
- Bratislava Region
- Trnava Region
- Inhabitants of all municipalities all along D4 and R7 motorways
- Business owners in all municipalities all along D4 and R7 motorways
- Sport and cultural associations in all municipalities all along D4 and R7 motorways

### **3. PREVIOUS STAKEHOLDER ENGAGEMENT**

There has been on-going engagement with all stakeholders since pre-construction and during construction phases. For example, significant changes and updates to the Project plans are shared with the local community, as are information regarding key progress milestones (e.g., start of overall construction, start and finalization of different project subsections, status of traffic diversions, completion of transfer elements ) and the Project’s work program and construction methods were managed in accordance with comments and complaints from the public.

As this is the first SEP for the operational period, more information from the Annual Environmental Social Report for the end of the construction period has been attached for ease of reference.

### **4-. STAKEHOLDER ENGAGEMENT DURING OPERATION**

#### **4.1 Engagement with Stakeholders**

The following actions are held by Concessionaire:

- Telephone line for users in a 24 hours, 365 days basis in Slovak language:
- Formulaire to contact Concessionaire through website page: <https://www.d4r7-obchvatnula.com/kontakt/>
- E-mail address for contact to Concessionaire:
- Grievance form for any visitors to O&M Center: [https://www.d4r7-obchvatnula.com/wp-content/uploads/2020/07/Tlacivo\\_pre\\_podavanie\\_podnetov-2.pdf](https://www.d4r7-obchvatnula.com/wp-content/uploads/2020/07/Tlacivo_pre_podavanie_podnetov-2.pdf)
- Facebook page to inform about Concessionaire’s activities: <https://www.facebook.com/D4R7Obchvatnula>

- Air quality monitoring is ongoing as defined in the Building Permits and duly reported to Independent Engineer, Public Authority and relevant bodies of the Slovak Republic.
- Noise monitoring is ongoing as defined in the Building Permits and duly reported to Independent Engineer, Public Authority and relevant bodies of the Slovak Republic.
- Animal crossing monitoring is ongoing as defined in the Building Permits and duly reported to Independent Engineer, Public Authority and relevant bodies of the Slovak Republic.
- Regular coordination meetings with Traffic Police and Police Presidium officials
- Regular coordination meetings with impacted vicinities Firefighters officials  
coordination meetings with Slovnaft refinery managers

meetings with NDS National Motor Company managers

meetings with Bratislava , Trnava and Senec regional transport officials

meetings with Bratislava city transport officials.

- meetings with all municipalities all along D4 and R7 officials
- Regular coordination meetings with Ministry of Transport and Ministry of Environment officials.
- Meetings with Slovak Road Association.
- Meetings as per demand with business owners interested in activities in the influence area of the project.
- Regular meetings with Project's Lenders
- Regular meetings with Shareholders' representatives
- Regular meetings with Employee's representatives
- Regular meetings with Providers' representatives
- Health and Safety meetings with Sponsors' experts to improve the safety of the workers
- Wellbeing meetings with Sponsors' experts to improve the wellbeing of the workers
- Human Resources meetings with Sponsors' expert to improve the employability of the workers

As Compensatory Measure 1 land is still non-available, special attention is devoted to the completion of the Action Plan 2 that was approved to ensure the completion of this Compensatory Measure. Regular updates are shared with the Lenders and interested parties on its progress.

#### 4.2 Information Disclosure activities

- Information about the motorways status and related road closures are provided through the project information channels (Variable Message Signs, Project website, Facebook account, etc) as well as through the channels of related Stakeholders (Bratislava city, Bratislava region, Municipal Boards, NDS variable message signs in adjacent motorways, Traffic police facebook account, Ministry of Transportation facebook account, ...)
- Relevant changes and updates on the Project will continue to be shared with the local community and the public.

## 5. GRIEVANCE PROCEDURE

## 5.1 Overview

A Grievance Management Procedure as part of the Quality Management Plan has been established for the Concessionaire in line with international best practices. This procedure provides a formal and ongoing avenue of engagement for stakeholders who consider the Project to have an adverse effect on their rights or interests. A grievance is defined as any complaint about the way the Project is being operated. It may take the form of a specific complaint about impacts or harm caused by the Project; concerns about access to the Project's stakeholder engagement process; or concerns about how comments have been addressed, concerns about Project activities during operation or perceived incidents or impacts.

## 5.2 D4R7 PPP Project Grievance procedure.

At all times, the complainant is able to seek other legal avenues available to him or her in accordance with the laws and regulations of the Slovak Republic.

The Grievance Procedure is free, open and accessible to all, and carried out in a fair and transparent manner.

Information about the Procedure, whom to contact and how, is made available on the Project website.

Overall, the Q&E H&S manager holds responsibility for the Grievance Procedure throughout the life of the Project, ensuring that external stakeholders remain aware of the relevant procedures and that all communication is handled promptly and in an appropriate manner. COO is responsible for the monitoring of the Q&E H&S manager performance. CEO is fully responsible for the implementation on changes to streamline the engagement activities in line with best international standards.

Providers of services and employees are integrated into the same system and addresses their grievances in the same manner, according to the same standards.

The Grievance Form has been included in Attachment A. (Please note that the Form in this Attachment is for informational purposes only; separate hard-copy hand-outs of the Grievance Forms are available for use by complainants at designated location.)

## 6. RECORDING AND MONITORING STAKEHOLDER ENGAGEMENT

Issues that arise during the Operation Phase are recorded so that they can be tracked until appropriate resolution and closure is achieved.

Stakeholder records currently on file include:

- A reference number for the stakeholder
- Name and contact details (unless requesting anonymity)
- Date of contact(s)
- Details regarding contact(s)
- Issue(s) raised (comments, suggestions, questions, complaints, etc.)
- Proposed response and actions to be taken
- Status (recorded, active, closed) To date, a list of relevant organizations and individuals and their contact details have been compiled to enable Concessionaire to readily communicate with each stakeholder.

This list is being actively maintained. These informations are on record in accordance with Law on the Protection of Personal Data, and has not been shared with any third parties.

## 7. EVALUATION OF EFFECTIVENESS

The Q&S H&S manager evaluates the effectiveness of engagement activities during project operation, measuring this against the goals and objectives set out in the SEP. The Q&S H&S manager evaluates the extent to which activities were implemented in accordance with the Plan and the extent to which they achieved the designated aims. A set of Key Performance Indicators (KPIs) for the Stakeholder Engagement and the Grievance Procedures are used to formalize the SEP evaluation.

The KPIs include the following:

1. SEP is in place, up to date and available to the public on the Concessionaire Project website and in location specified in the SEP.
2. All planned actions are implemented as scheduled.
3. Local residents and other stakeholders are aware activities of Operation has possibility to affect them within legal frame (e.g. noise pollution). If local residents and other stakeholders would like to raise a grievance related to these activities, they can use the Grievance Procedure.
4. Information on Comment and Grievance Procedures has been disseminated and is operating as planned.
5. All queries/complaints received are acknowledged within five working days, and all grievances are either resolved within one month or the complainant is directed to the appropriate programme for addressing their complaint

## PART A. CORE QUESTIONS

### 2. PROJECT UPDATE

|  |  |
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| <b>Project Name (As given in the Project Legal Documentation):</b>   | Design and Construction of D4 Highway section Jarovce - Rača and R7 Expressway section Bratislava Prievoz - Holice |
| <b>Project OP ID (As given in the Project Legal Documentation):</b>  | 48345  |
| <b>Please provide a summary update on the project implementation. If the project includes production or manufacturing please also include:</b> | Refer to Annex 3 for a comprehensive summary of the project implementation status.                                 |

### 3. ENVIRONMENTAL AND SOCIAL ACTION PLAN (ESAP) STATUS UPDATE

ESAP status update is provided by the Lenders' Technical Adviser in a Quarterly basis. If no specific ESAP status update is produced to answer this section, please consider January 2020 version (Annex 8), which includes Concessionaire's/EPC Contractor's comments. All Minor Delays included have been implemented or are in progress of implementation by ZBL and the EPC Contractor.

### 4. GENERAL

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| 4.1. Have any new environmental, social or gender <sup>1</sup> issues been identified which were not foreseen by or contemplated in the Environmental and Social Action Plan?  | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |   |
| 4.2. Have there been any accidents or incidents that have caused damage to the environment, affected cultural property, or created liabilities for the Client?   | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |   |
| 4.3. Have there been any suspensions, closures, penalties, fines and/or corrective action plans imposed by environmental, health and safety, labour authorities on the Company or the Contractors?                   | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |   |
| 4.4 Have there been any exceedances of the emission and discharge standards that apply to the project (either by regulation or as defined under the ESAP / ESMP/ EU Directives)?                                     | Yes: <input checked="" type="checkbox"/><br>No: <input type="checkbox"/> | Results from exhaustive cleaning of oil separators show the minor exceedances of NEL parameter in 36 oil separators used on Project Road. |
| 4.5 Have there been any court cases filed or determined against the Client in the reporting period that are related to labour, health and safety, environment, land acquisition, damage to third party assets, etc.? | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |   |

<sup>1</sup> Within the Bank's Environmental and Social Policy, "social" refers to those issues which pertain to protect-affected people and their communities and workers and related to socioeconomic status, vulnerability, gender identity, human rights, sexual orientation, cultural heritage, labour and working conditions, health and safety and participation in decision making.



## 5. HUMAN RESOURCES MANAGEMENT

Unless otherwise noted, the questions in this section apply to both direct employees and non-employee workers (e.g. contractors and other 3<sup>rd</sup> party employees working on the project site or performing work directly related to the core functions of the project).

|  | Total                           | Recruited in the reporting period | Dismissed in the reporting period   |
|--|---------------------------------|-----------------------------------|-------------------------------------|
| <b>Number of direct employees:</b>           | 51                              | 19                                | 16                                  |
| <b>Number of contracted workers:</b>         | 68                              | 0                                 | 94                                  |
| <b>Number of seasonal/temporary workers:</b> | NA                              | NA                                | NA                                  |
| <b>Number of employees<sup>2</sup>:</b>      | <b>International (%)<br/>2%</b> | <b>National (%)<br/>98%</b>       | <b>Local (%)<sup>3</sup><br/>NA</b> |



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| 5.1. Were there any collective dismissals as defined in article 1 of EU Directive 98/59 <sup>4</sup> and/or as defined in the national labour code affecting the directly employed workforce during the reporting period? | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |  |
| 5.2. Are any collective dismissals planned for the directly employed workforce in the next year?  | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |  |
| 5.3. Were there any changes to: <ul style="list-style-type: none"> <li>worker representation at Client facilities?</li> <li>status of collective agreements?</li> </ul>   | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |  |
| 5.4. Have workers raised any grievances concerning the project, Client, or contractors including working conditions or any type of discrimination, harassment or bullying during the reporting period?                    | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |  |
| 5.5. Have there been any strikes or other collective disputes related to working conditions at the Client in the reporting period?  | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |  |

<sup>2</sup> Only direct employees figure available

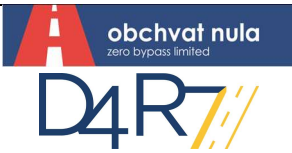
<sup>3</sup> From directly affected/neighbouring communities

<sup>4</sup> <http://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:31998L0059&from=EN>

## 6. HEALTH AND SAFETY (H&S) DATA

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| <br> | <b>STAKEHOLDER ENGAGEMENT PLAN</b><br><b>– 2022 –</b><br><b>D4/R7 HIGHWAY PPP PROJECT</b> |  |
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| <p><b>6.1</b></p> | <p><b>Please provide information on any accidents / incidents that have happened to employees, contactors or other third parties during the reporting period? Please confirm circumstances, severity of the accident (fatal, serious, minor etc.), status of investigation and remedial action taken.</b></p> <p>2 reportable accidents:</p> <ul style="list-style-type: none"> <li>• 1 first aid: <ul style="list-style-type: none"> <li>- During cutting of a plank placed on the ground by using circular saw the worker did not respect safe distance from his leg and he cut his right calf and caused an injury. First aid was called, and worker was transported to the nearest hospital for treatment. Police also came to the place of the injury who did the alcohol test to worker; negative result of the test. After the treatment the worker came back to the working place</li> </ul> </li> <li>• 1 Near Miss: <ul style="list-style-type: none"> <li>- SPV workers were transporting the closets from temporary O&amp;M Centre to permanent O&amp;M Centre, about 25 km away from each other. During transport, one of the closets fell out of one of the trucks to the middle lane and wind blew it to the slow lane (3 lanes in the Carriageway Section in total). Both vehicles pulled over to the emergency lane and quickly removed the closet from the Project Road. 3 trucks and 1 private car (Project Road users) needed to do the dangerous maneuver to avoid the collision.</li> </ul> </li> </ul> <p>Total LTI during 2022 is 0 days.</p> <p>Corrective actions taken: Additional trainings of employees related to manual handling activities, works at height, Tool-Box Talks, lifting operations, Safe manual handling of loads, Site entry permits, Use of PPEs, Use of slings, Works near Power lines, Site traffic management, works at live traffic.</p> |
| <p><b>6.2</b></p> | <p><b>Please provide information on the H&amp;S training provided to employees or contactors during the reporting period? Please provide the training topics and the numbers of workers that have been trained.</b></p> <ul style="list-style-type: none"> <li>- Trainings for subcontractors: 28 hours in total trained in 2022</li> <li>- Training for direct employees: 41 hours (19 workers)</li> </ul>   |
| <p><b>6.3</b></p> | <p><b>Please provide information on the number of H&amp;S inspections / audits which have been carried out during the reporting period? Please confirm if these were carried out by the Client or by an independent OSH expert and if any actions are outstanding.</b></p> <p>There were no Inspections on site by D4R7 as the construction was over. Regular H&amp;S audits were carried out by D4R7 subcontractor on weekly basis but as their activity decreased, so did the frequency.</p>  |

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| <b>6.4</b> | <p><b>Please provide information on any major developments and achievements related to H&amp;S management during the reporting period? Please include new safety measures which have been introduced, safety awards which the project has received or safety programmes / initiatives that have been introduced.</b></p> <p>Safety Alerts:</p> <ul style="list-style-type: none"> <li>- Windy conditions, storms, Danube level raise and icy roads.</li> </ul> <p>Toolbox talks:</p> <ul style="list-style-type: none"> <li>- Lifting operations, Safe manual handling of loads, use of PPEs, works near Power lines, site traffic management – works in live traffic, works at height</li> </ul> <p>Testing of Maintenance employees:</p> <ul style="list-style-type: none"> <li>- Testing of maintenance employees on 6-month basis to reduce the possible risks when working in live traffic, retesting the safety schemes approved by police, general knowledge of Carriageway Sections of D4R7, what steps to take during closure of traffic lanes.</li> </ul> <p>Retesting the employees ensures the effectiveness of the employees and reduces the possible risks when working in live traffic.</p> |
| <b>6.5</b> | <p><b>Please provide information on the road traffic collisions that have happened to employees or contactors during the reporting period including the number of accidents, fatalities, major injuries, minor injuries, damage only and the investigative process undertaken. Summarize remedial action taken.</b></p> <p>During 2022, there were 3 road collisions of contractor’s vehicles in the crash barriers structure on site. Only property damage, no personal injuries.</p> <p>Actions taken: re-training of the employees. Informing Concessioner on time regarding ongoing works along the road.</p>  |

## 7. STAKEHOLDER ENGAGEMENT

**7.1 Please provide information on the implementation of the stakeholder engagement plan agreed with EBRD and summarise interaction with stakeholders during the reporting period, including:**

- **Meeting or other initiatives to engage with members of the public or public organisations, civil society, communities, including vulnerable groups during the report period. Please describe how you have made such meetings and interactions accessible to both men and women of all ages, all social groups, and those who speak minority languages.**
- **Summarise any coverage in media on environmental, health and safety and social issues related to the project, and,**
- **Interaction with any environmental or other community groups.**

### **IMPLEMENTATION OF THE STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE PROGRAMME (SEIDP)**

The commitment of Obchvat Nula is to get all stakeholders involved in the development of D4/R7 project. For that purpose, meetings have been held periodically by both, Obchvat Nula and its EPC contractor D4R7 Construction s.r.o with Police, OMV, PA, NDS, MoE, local authorities, associations, etc.

Apart from those meetings, Obchvat Nula has permanent communication with several governmental bodies, public agencies, private companies, neighbors, and other stakeholders involved in the realization of the D4/R7 project.

During the year 2022, the EPC contractor used many channels to engage with stakeholders of the project, in line with the Stakeholders Engagement Plan. All the communication about D4R7 activities was done transparently via various communication channels with no gender limitation, so that we could reach out and cover all the possible target groups of our stakeholders.

Below a brief summary of the meetings and events held during 2022. Refer to Annex 4 and the Communications Report in Annex 5 for further information.

On top of that, there is active communication of Concessionaire about traffic updates via these channels: social media – either by regular posts, but as well by engaging with community – Q/A via social media cooperation with Stella centrum-national, integrated traffic information platform.

**LAND ACQUISITION STATUS**

- Necessary land

All necessary land should have been handed over to Concessionaire on 30.09.2016. However, the following breaches have been produced:

- Failure to provide necessary land for Section 1, 2 and 3
- Failure to provide the Land for all Compensatory Measures
- Failure to provide the Land for Selected Utilities

Concessionaire has addressed the Compensation Event regarding the missing Necessary Land – not fulfilling the milestone / Information to the Compensation Event – Cl. 27.2.2 Concession Agreement, and related updates.

- Expropriation process

On 02.06.2017 the Amendment to Act No. 669/2007 on Extraordinary Measures Regarding Preparation of Certain Constructions became effective. The Act permits the PA to issue the Building Permit even if the Expropriation process of all affected plots is not yet completed, but PA has to prove that the Expropriation process has commenced (namely Preliminary Detention process)

On 14.12.2017 PA informed Concessionaire/ EPC Contractor that all the Preliminary Detention decisions have been issued for all the land plots and the Preliminary Detention was established and attached the relevant Decisions of the Expropriation Office. In all necessary land the preliminary Detention applies, so the access in 2019 is already available for Concessionaire.

In addition, there are parcels under Zoning Permit procedure for 2 CM (071, 078); Concessionaire is waiting for valid Zoning permit, to be managed by PA (NDS)

- Additional and Extra Land

Concessionaire/ EPC contractor have purchased the following additional land, plus multiple Extra land related with easements:

- EPC contractor have purchased the following additional land, plus multiple Extra land, related with easements:

| Object              | Section | Extension  | Status  |
|---------------------|---------|--|---|
| Intersection Holice | 5       | 2.557 m <sup>2</sup>   | 13 contracts; Expropriation completed   |
| O&M Center          | 3       | From Slovnaft: 1.660m <sup>2</sup><br>From NDS: 17.975m <sup>2</sup> | Purchase agreement with Slovnaft signed; Purchase agreement with NDS signed.      |
| R7PK - Object 555   | 3       | 68 m <sup>2</sup>  | Relocation performed, BVS to sign TH protocol, after proceeding with Oral Hearing |

Refer to section 5 of the ESAP for LTA's evaluation of the Land acquisition, resettlement, and economic displacement status evaluation.

- Compensatory measures

Implementation of Compensatory measure CM1 pending due to unavailability of former location. Topic ongoing with involvement of MoE, MoT, NDS, Slovak Land Fund and NGO Birdlife slovakia.

**STAKEHOLDERS ENGAGEMENT THROUGH DESIGN CHANGES PROCEDURE**

The provisions of public information throughout the Environmental Impact Assessment (EIA) procedure are ensured according to EIA Act by the Ministry of Environment of the SR (MoE) and affected municipalities as provided in procedure description in Chapter 5. The Notification of changes is disclosed to public by these means:

- MoE displays a Notification on its website <https://www.enviroportal.sk/sk/eia> within 3 workdays after its receiving
- Affected municipality informs the public on its website (if exists) and on the official noticeboard of municipality within 3 workdays after notification obtaining. Information contains place and time of notification disclosure, a period in which the public can send comments and a place, where comments can be administered. Notification must be available at least 10 workdays from the date of information publication.
- The public has another 10 workdays to deliver an opinion to competent authority.

In the decision of MoE all comments of public must be considered.

The same procedure is repeated in case that the procedure continues by complete assessment (e.g., the Environmental report must be prepared). In this case public disclosure continues by public hearing in affected municipalities.

All comments of public and results of public hearing must be considered in the Final statement of MoE.

All decisions and final statements of the Ministry of the Environment from the EIA procedure are published on the website <https://www.enviroportal.sk/sk/eia>.

This procedure also complies with the requirements for public participation defined in the Stakeholder Engagement Plan (SEP).

Relevant Slovakian public consultation requirements and regulations in the following stage of building proceeding are governed by the Building Act and the Act on Administrative Procedures, which amongst others regulate Building Permit procedure. This legislation provides that everyone who is an owner of a plot neighboring land to be used for the project and whose rights might be affected by the proposed project (both conditions to be met cumulatively) should participate in public consultation procedures.

Each participant has the following rights:

- Access to full documentation for review at the relevant project authority.
- The right to raise objections.
- The right to appeal.

Objections raised during the Building Permit (and its changes) process must be dealt with in the permits themselves. They are either accepted and then they become binding for an investor or alternatively, if objections raised within the permitting process are not accepted by the relevant authority in charge of the Project, the reasons for dismissal must be given in the part "Justification" of the building permit. During the building proceedings all relevant environmental authorities show their opinion. All eligible and technically realizable objections and comments of the participants to the proceeding shall be incorporated in the project documentation by the designer.

Additionally, engagement with affected stakeholders and local communities is continued during construction procedure. Several associations (cyclists, fishermen, houseboats owners...) and public bodies (Municipality, Police, environmental protection agencies...) are informed about forecasted activities and given the opportunity to submit comments and suggestions.

Further information on the implementation of the SEP is provided in the latest update of the SEIDP, Annex 6.

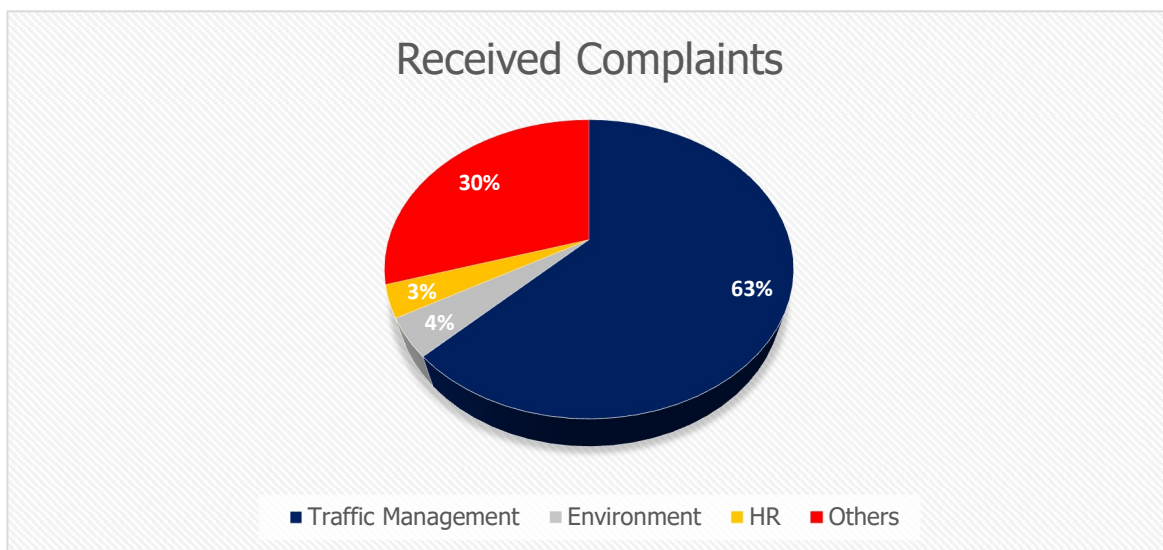
**The information above, together with the annexed update of the SEIDP, can be considered as the annual report on implementation of SEP and Grievance Process described in Section 8 of the Stakeholder Engagement Plan.**

**7.2 How many complaints or grievances did the project receive from members of the public or civil society organisations during the reporting period? Please split by stakeholder group and by issues and provide status update on % of resolved and unresolved complaints. Summarise main issues raised in the complaints or grievances and explain how they were resolved:**


The Concessionaire has implemented a Public Communications Register to record all the feedback received from stakeholders through the official communication channels, including phone, email, and social media. Details of all these communications are being registered, including dates, way of communication, periods of response, etc. The table refers to complaints from relevant authorities or bodies. It is resumed as follows for 2022:

| TYPE      | CUMMULATIVE (UNTIL 2022) | THIS PERIOD | TOTAL | NOT ANSWERED | ANSWERED   |
|-----------|--------------------------|-------------|-------|--------------|------------|
| Complaint | 367                      | <b>12</b>   | 379   | 94           | <b>285</b> |
| Press     | 286                      | <b>2</b>    | 288   | 21           | <b>267</b> |
| Others    | 871                      | <b>63</b>   | 994   | 363          | <b>631</b> |

*\* "Initiatives" includes all news, information and campaigns that SPV/CJV have proactively launched to keep stakeholders informed about the project.*



\* Up to 31.12.2022

|  |   |  |
|--|---|--|
|  | <b>STAKEHOLDER ENGAGEMENT PLAN</b><br><b>– 2022 –</b><br><b>D4/R7 HIGHWAY PPP PROJECT</b> |  |
|--|---|--|

**7.3 What information on environmental and social issues was put in the public domain during the reporting period? Please attach a copy or the link.**

The general web site of Ministry of Environment, where all EIA proceedings can be found, is the following:

<https://www.enviroportal.sk/sk/eia>

Or by the specific name of the project, , e.g. for section 1 (Diaľnica D4, úsek Jarovce - Ivanka, sever):

<https://www.enviroportal.sk/sk/eia/detail/dialnica-d4-usek-jarovce-ivanka-sever>

The actual information regarding the operation of the highways D4 and expressway R7 can be found in social media website:

<https://www.facebook.com/D4R7Obchvatnula/>

|   |  |   |
|---|--|---|
| Is the project Categorised A by the EBRD? | Yes:<br><input type="checkbox"/><br><br>No:<br><input checked="" type="checkbox"/> | The annual report will be available at EPC Contractor´s site. |
|---|--|---|

## PART B. QUESTIONS FOR PROJECTS WITH SPECIFIC CONDITIONS

### 8. WATER USE AND EFFICIENCY

|   |  |   |
|---|--|---|
| Does the project involve production or manufacturing with a high-water demand (greater than 5,000 m <sup>3</sup> /day)? | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |   |
| Total volume of water drawn from water source(s) in m <sup>3</sup> during the reporting period                          |  | 3508 m <sup>3</sup> of drinking water used in reporting period in Operation and Maintenance centre in Vlčie hrdlo |
| Total volume of potable water drawn from water source(s) in m <sup>3</sup> during the reporting period (if available)   |  | 3508 m <sup>3</sup>   |
| Total units of production/manufacturing (please define the units in the response)                                       |  | No production   |
| Water source (well, water network, etc.)  |  | Public water network  |

### 9. GREENHOUSE GAS (GHG) EMISSIONS

|   |  |  |
|---|--|--|
| Are the direct and indirect <sup>1</sup> GHG emissions of the project more than 25,000 tonnes/year CO <sub>2</sub> -equivalent? | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |  |
|---|--|--|

### 10. LAND ACQUISITION

**Was there any land acquisition associated with the project during the reporting period?**

Yes:  No:

### 11. GENDER

|  |  |  |
|--|--|--|
| Is there a Gender Action Plan (GAP) developed for the Project? | Yes: <input type="checkbox"/><br>No: <input checked="" type="checkbox"/> |  |
|--|--|--|

### 13. COMMUNITY DEVELOPMENT

**Please summarise the social or community development initiatives undertaken by the Client during the reporting period, and associated expenditure: Comment on whether the community has input into the selection of initiatives to be supported.**

Information on community engagement is summarized in the SEIDP in Annex 7.

### 14. CORRECTIVE ACTION PLANS

**Please summarise the details and status of any corrective action plans pending, agreed, or closed out with the LTA (or attach a separate report).**

There were no Action Plans opened in 2022.

<sup>1</sup> Indirect emissions from the grid electricity





**STAKEHOLDER ENGAGEMENT PLAN**

**– 2022 –**

**D4/R7 HIGHWAY PPP PROJECT**




**STAKEHOLDER ENGAGEMENT PLAN**

**– 2022 –**

**D4/R7 HIGHWAY PPP PROJECT**

## **PROJECT INFORMATION SUMMARY**

|  |   |  |
|--|---|--|
|  | <b>STAKEHOLDER ENGAGEMENT PLAN</b><br><b>– 2022 –</b><br><b>D4/R7 HIGHWAY PPP PROJECT</b> |  |
|--|---|--|

## 1. PROJECT BRIEF

The D4R7 project comprises the construction of a ring highway D4 around Bratislava, which connects Jarovce with the northernmost part of Rača, and an expressway R7 which connects Prievoz in the city with Holice, in the southernmost part of the country alongside the Danube River and close to the border with Hungary.

### D4 Highway

#### **Jarovce – Ivanka sever Section of the D4 highway (Section 1)**

The Section starts at the elevated "Jarovce" crossing which connects with the D2 highway and continues by a bridge over the Danube to the elevated "Ketelec" crossing where the R7 expressway connects to the D4 highway. The Section ends at the elevated "Ivanka sever" crossing where it connects to the D1 motorway.

The II/572 integrates relocations **in the Galvaniho Street extension (Section 6)** in this section and the **Most pri Bratislave** D4 section (**Section 7**).

#### **Ivanka sever – Rača Section of the D4 highway (Section 2)**

This Section starts at the intersection of the existing D1 highway with the elevated "Ivanka – sever" crossing. The Section ends at the elevated "Rača" crossing, where it connects to the II/502 road and where in the future it will proceed by the northern part of the D4 highway bypass and the D4 "Karpáty" tunnel as part of the Rača – Záhorská Bystrica section of the D4 highway.

### R7 Expressway

#### **Bratislava Prievoz – Ketelec Section of the R7 expressway (Section 3)**

The Prievoz – Ketelec Section starts with the existing elevated "Prievoz" crossing on the route of the D1 Highway (also called Section 8). It then crosses the "Malý Dunaj" near the SLOVNAFT, a.s. complex. The Section ends at the elevated "Ketelec" crossing.


#### **Ketelec – Dunajská Lužná Section of the R7 expressway (Section 4)**

The R7 Ketelec – Dunajská Lužná Section starts at the planned elevated "Ketelec" crossing (R7 with the D4 highway). The R7 expressway Section ends at the elevated "Dunajská Lužná" crossing (R7 with the I/63 road) between Dunajská Lužná and Šamorín, where it connects to the planned "Dunajská Lužná – Holice" Section of the R7 expressway.

#### **Dunajská Lužná – Holice Section of the R7 expressway (Section 5)**



The R7 Dunajská Lužná – Holice Section starts between the municipality of Dunajská Lužná and the town of Šamorín at the elevated "Dunajská Lužná" crossing. The entire Section runs along the left side of the I/63 road and ends at the elevated "Holicé".

| CM reference | Object               | Description  | Progress status   |
|--------------|----------------------|--|---|
| <b>CM 1</b>  | <b>71</b>            | Change of the plots on forest land in Rusovce area | Pending - Implementation of Compensatory measure CM1 pending due to unavailability of former location. Topic ongoing with involvement of MoE, MoT, NDS, Slovak Land Fund and NGO Birdlife slovakia. New location selected by NGO and land transfer between Slovak Land Fund and NDS under preparation. Zoning permit considering updated CM1 location under development of NDS. |
| <b>CM 2</b>  | <b>72</b>            | Change of the plots on forest land in Čunovo area  | Completed in November 2021.   |
| <b>CM 3</b>  | <b>073-1, 073-2</b>  | Grassing of the land in Velké Kosihy area          | 073-1: 1st & 2nd phase of planting trees is finished. Fence is installed  |
|              |                      |  | 073-2: Grassing was done. Maintenance is ongoing  |
|              |                      |  | Handed over to SOP in April 2021  |
|              |                      |  |   |
| <b>CM 4</b>  | <b>74</b>            | Grassing of the land in Podunajské Biskupice       | Completed in December 2019. Handed over to SOP on 8th April 2021 and closed.  |
| <b>CM 5</b>  | <b>75</b>            | Grassing of the land in Kalinkovo area             | Completed in December 2019. Handed over to SOP on 8th April 2021 and closed.  |
| <b>CM 6</b>  | <b>76</b>            | Renewal of the Danube – Biskupice branch           | Channel Excavation – works are ongoing  |
|              |                      |  | Object 100: not started yet   |
|              |                      |  | Object 200: finished  |
|              |                      |  | Object 300: finished  |
|              |                      |  | Object 400: finished  |
|              |                      |  | Object 500: Connection with Biskupice channel is almost completed; minor finishing works pending.   |
|              |                      |  | Object 600: Excavation is near completion.  |
|              |                      |  | Object 700: finished  |
|              |                      |  | Object 800: Same permit situation as in Object 100, and therefore excavation is pending.  |
|              | Object 900: finished |  |   |
|              | <b>77</b>            | Bridge on the forest road over Biskupice branch    | Works are completed. Deficiencies are under reparation.   |

|  |   |  |
|--|---|--|
|  | <b>STAKEHOLDER ENGAGEMENT PLAN</b><br><b>– 2022 –</b><br><b>D4/R7 HIGHWAY PPP PROJECT</b> |  |
|--|---|--|

|             |           |  |  |
|-------------|-----------|--|--|
| <b>CM 7</b> |           | Legislative protection of forest habitats            | Projects for the environmental care and protection are completed and sent to the relevant authorities.   |
| <b>CM 8</b> | <b>79</b> | Change of the plots on forest land in Kameničná area | Landscaping works, installation of fence and 1st phase of afforestation were finished in April 2020. 2nd phase of afforestation is completed. Handed over to SOP in April 2021, completed 3rd phase of afforestation in Nov 2021.  |
| <b>CM 9</b> | <b>78</b> | Grassing of the land in Rusovce area                 | Grassing has been done and maintenance pruning is pending before it is ready to be handed over. On 17th February 2021 EIB closed the complaint of OZ Triblavina and Boat Association regarding the design of the bridge, the compliance of the project with the EIA and its impact on the environment. Full EIB resolution is accessible at: <a href="https://www.eib.org/en/about/accountability/complaints/cases/d4r7-slovakia-ppp#">https://www.eib.org/en/about/accountability/complaints/cases/d4r7-slovakia-ppp#</a> |

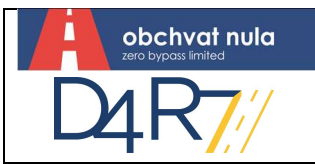
## **SUMMARY OF MEETINGS - 2022**

|   |   |  |
|---|---|--|
| <br> | <b>STAKEHOLDER ENGAGEMENT PLAN</b><br><b>– 2022 –</b><br><b>D4/R7 HIGHWAY PPP PROJECT</b> |  |
|---|---|--|

| DATE             | ATTENDEES                            | TOPIC  |
|------------------|--------------------------------------|--|
| <b>January</b>   |                                      |  |
| -                | -                                    | -  |
| <b>February</b>  |                                      |  |
| -                | -                                    | -  |
| <b>March</b>     |                                      |  |
| 16.3.2022        | SPV, Civilroad                       | Implementation of asset management tool                          |
| 22.3.2022        | SPV, Bratislava technical university | Excursion for university students of project road                |
| <b>April</b>     |                                      |  |
| 7.4.2022         | SPV, CJV, PA                         | Compliance of Works with Concession Agreement - R7-2, R7-3, R7-4 |
| 11.4.2022        | SPV, PA, Harnour park                | Harbour Park logistic park connection to R7                      |
| 21.4.2022        | SPV, VNET                            | Connection of new O&M Centre                                     |
| 29.4.2022        | SPV, Police presidium                | Scaling at rest area Rovinka                                     |
| <b>May</b>       |                                      |  |
| 8.5.2022         | SPV, Slovak water-manament company   | Handover of Compensatory measure 8 – additional works            |
| 11.5.2022        | SPV, DAQE                            | Traffic schemes for SPV  |
| 18.5.2022        | SPV, CJV, PA                         | Concessionaire changes   |
| 24.5.2022        | SPV, NDS, CJV                        | ITS connection to O&M Centre Domkarska                           |
| <b>June</b>      |                                      |  |
| 1.6.2022         | SPV, PA, Police, CJV, OMV            | Rovinka rest opening preparation                                 |
| 2.6.2022         | SPV, PA, CJV                         | ITS kick-off meeting – handover procedure                        |
| 8.6.2022         | SPV, Eurolink                        | Experience sharing   |
| 8.6.2022         | SPV, NDS                             | ITS – traffic scenarios  |
| 24.6.2022        | SPV, PA                              | Update of indexation formula meeting                             |
| 28.6.2022        | SPV, Sezako                          | Oil separators cleaning  |
| <b>July</b>      |                                      |  |
| 12.7.2022        | SPV, NDS, PA, CJV                    | Commencement of vegetation technical inspection                  |
| <b>August</b>    |                                      |  |
| 9.8.2022         | SPV, ZSE                             | Elecricity contracts   |
| 22.8.2022        | SPV, EIB                             | EIB project visit – Compensatory measures                        |
| 24.8.2022        | SPV, PA, SBO                         | Danube bridge – cyclic path – improvements of expansion joints   |
| <b>September</b> |                                      |  |
| 5.9.2022         | SPV, Cintra                          | Deformation of bridge bearings                                   |
| 8.9.2022         | SPV, national cyclo-coordinator      | Danube bridge – cyclic path – improvements of expansion joints   |
| 14.9.2022        | SPV, Bassler - Hofman                | Main bridge inspections  |
| 21.9.2022        | SPV, PA, NDS                         | Compensatory measures 1 – update meeting                         |

| DATE            | ATTENDEES                  | TOPIC  |
|-----------------|----------------------------|--|
| 22.9.2022       | SPV, CJV, Cintra, Ferrovia | Deformation of bridge bearings – technical meeting |
| <b>October</b>  |                            |  |
| 4.10.2022       | SPV, CJV, PA, IE           | Compensation events – kick off meeting             |
| 11.10.2022      | SPV, PA                    | Indexation formula calculation                     |
| 12.10.2022      | SPV, rubnit                | Bridges monitoring                                 |
| <b>November</b> |                            |  |
| 1.11.2022       | SPV, CJV, PA               | ITS kick off meeting                               |
| <b>December</b> |                            |  |
| 7.12.2022       | SPV, CJV, PA               | Vegetation, ITS – next steps                       |
| 13.12.2022      | SPV, Cintra                | Critical Control Framework                         |
| 19.12.2022      | SPV, Highway police        | Cooperation during operation                       |





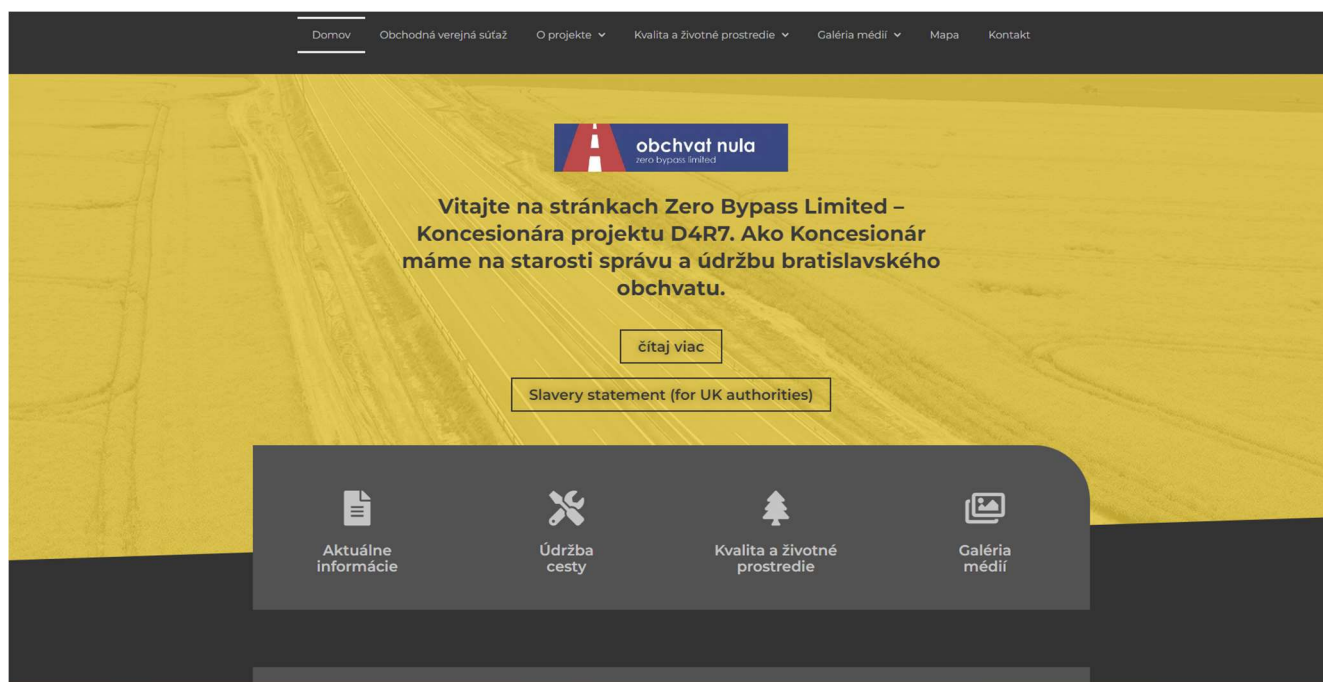
**STAKEHOLDER ENGAGEMENT PLAN**

**– 2022 –**

**D4/R7 HIGHWAY PPP PROJECT**

**COMMUNICATIONS REPORT – 2022**

## 1. Webpage & Social media



### Web page

The official D4/R7 Project's website is accessible through the following links both in English and Slovak languages:

- <https://www.d4r7-obchvatnula.com>

The web page provides a very interactive modern layout and user-friendly search features, which enables visitors targeted search based on areas of their interest.

Regular updates about the D4R7 project have been published:

<https://www.d4r7-obchvatnula.com/employee-recognition-q3/>

<https://www.d4r7-obchvatnula.com/online-prezentacia-projektu-d4r7-z-pohladu-koncesionara/>

<https://www.d4r7-obchvatnula.com/udrzba-cesty-2/>

## Social Media

As the social media are widely used, Concessionaire are actively sharing all the important information regarding the closures, winter maintenance and actual restrictions on the Facebook page.

| Social Media | Concessionaire   | EPC Contractor  |
|--------------|--|---|
| Facebook     | Page <a href="https://www.facebook.com/D4R7Obchvatnula/">https://www.facebook.com/D4R7Obchvatnula/</a> | <a href="https://www.facebook.com/obchvatD4R7/">https://www.facebook.com/obchvatD4R7/</a> |
|              | Likes  | 5425  |
|              | Followers  | 6399  |
| Linked In    | Followers  | 1401  |

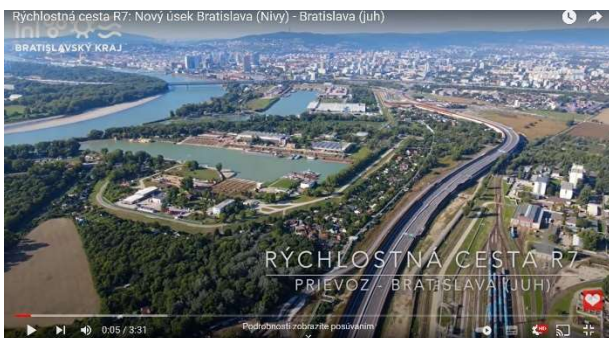
## 2. PR & Media relations


The communications departments of both the Concessionaire are in charge of the media Q/A and complaints management. Most frequent questions received from media and wide public in 2022 have been related to:

- Closures connected to the maintenance of the highway
- Environmental compensatory measures

## Photo and video documentation

The Communication Department coordinates production of short documentary promo videos that are summarising actual status of the works and are used for the project promo towards the general public. These videos are published on the Youtube channel, shared via D4R7 web page and across the social media.



|  |  |  |
|--|--|--|
|  <p>obchvat nula<br/>zero bypass limited</p> <p>D4R7</p> | <p><b>STAKEHOLDER ENGAGEMENT PLAN</b></p> <p><b>– 2022 –</b></p> <p><b>D4/R7 HIGHWAY PPP PROJECT</b></p> |  |
|--|--|--|

**STAKEHOLDER ENGAGEMENT AND INFORMATION  
DISCLOSURE PROGRAMME 2022 FOR THE PRE-  
CONSTRUCTION AND CONSTRUCTION STAGES**

| No.                             | Activity   | Stakeholders   | Timing   | Responsibility                             | Communication / Media tool  | Opportunity for Stakeholder Comment  | Status   |
|---------------------------------|--|--|--|--|---|--|--|
| <b>1 Pre-construction Stage</b> |  |  |  |  |   |  |  |
| 1.1                             | <p>Notify stakeholders of and disclose full updated Project ESIA information package.</p> <p>ESIA information package includes: all EIAs, Project Non-Technical Summary (NTS), Stakeholder Engagement Plan (SEP) including grievance mechanism, Land Acquisition and Resettlement Framework (LARF), Environmental and Social Action Plan (ESAP).</p> | All stakeholders                                     | Disclosure: 16 <sup>th</sup> October 2015                    | MoT  | <p>For notification:</p> <ul style="list-style-type: none"> <li>Announcement on Project website, premises and main public places of the affected municipalities.</li> <li>Mass media (local newspapers, TV channels, radio, social media).</li> <li>Information will be mainly available in Slovak language and Slovak and English languages on official websites.</li> </ul> <p>For ESIA package disclosure:</p> <ul style="list-style-type: none"> <li>Project website.</li> <li>Hard copies of the ESIA package will be available at the MoT, MoE, EBRD Resident office in Bratislava, EBRD Headquarters in London.</li> <li>Full Project ESIA information package will be available in Slovak and English languages.</li> </ul> | <p>A deadline for comments is a minimum of 60 days after the disclosure date.</p> <p>Enquiries and comments via the Project website, MoT, Concessionaire contact information, EBRD website.</p> <p>Response via official correspondence, e-mail or grievance mechanism</p> | <p>Full document was published on 16.10.2015 and it is open to the public.</p> <p>Ministry of transport did not register any complaints related to this document.</p>          |
| 1.2                             | Implement stakeholder engagement and information disclosure activities for specific project-affected groups outlined in the Land Acquisition and Resettlement Framework under the Concessionaire responsibility (LARF,   | Project Affected Persons/Households, Concessionaire, | Relevant actions prior to construction to be duly scheduled. | MoT, NDS, Concessionaire where applicable. | <p>Notices and updates on the Project website.</p> <p>Community Liaison Officer (CLO) office.</p> <p>Direct meetings with PAPs.</p>   | Enquiries, statements, objections, comments via the Project website, CLO, contact information of relevant authorities.   | Concessionaire and EPC Contractor have been engaging with the cycling groups and informing them about relevant information. Due to the current pandemic situation, it has been |



**STAKEHOLDER ENGAGEMENT PLAN**

– 2022 –

**D4/R7 HIGHWAY PPP PROJECT**

| No. | Activity  | Stakeholders  | Timing                              | Responsibility   | Communication / Media tool   | Opportunity for Stakeholder Comment  | Status   |
|-----|---|---|-------------------------------------|--|--|--|--|
|     | <p>Annex 1: LARF Action Plan and Table 10: LARF Related Engagement Plan).<br/>This will include but not be limited to:</p> <ul style="list-style-type: none"> <li>Engaging with the cycling federation/groups and any existing association to discuss impact on cycle routes along this Section during construction and support information campaign;</li> <li>Engaging with the two businesses located on the cycling route in Jarovce (i.e. north and south of the Danube crossing by the D4).</li> </ul> | <p>Relevant authorities (MoT, NDS, Water Authorities, Municipalities etc.).</p> |                                     | <p>Relevant authorities (Water Authorities, Municipalities etc.) where applicable.</p> | <p>Public notice and official correspondence by concerned authorities and organisations.<br/>Noticeboards and website of relevant authorities and municipality premises in the affected city districts and settlements.</p>  | <p>Grievance mechanism</p>   | <p>covered online. In cooperation with cycling group, the condition for cycling on Danube bridge were improved</p>   |
| 1.3 | <p>Notify stakeholders on the commencement of the Building permitting process and provide opportunities for comments.<br/>Disclose the decision and relevant documentation on the Building permit and provide opportunities for comments.<br/>(NDS is to update the LARF after the Building Permits are obtained.)</p>  | <p>Concerned authorities and organisations, Public.</p>                         | <p>TBC (in accordance with law)</p> | <p>NDS, MoT, Building authority.</p>   | <p>Public notice and official correspondence by concerned authorities and organisations.<br/>Noticeboards and website of the building authority and the affected city districts and villages.<br/>Project website.<br/>NDS prepares documentation and submits the application for the Building permitting process.<br/>Building authority notifies stakeholders and discloses the decision.<br/>MoT discloses the Building Permits on the Project website in the same way as the Zoning permits.</p> | <p>Enquiries, statements, objections, comments in accordance with law contact information of the building authority.<br/>Response in accordance with law via official correspondence.<br/>Appeals to the building authority.</p> | <p>Ministry of transport shall always disclose all documents in accordance with law, as well as commencement of the building permitting process.<br/><br/>Everyone has the right to inspect the file and of course the opportunity to comment.</p> |

| No. | Activity  | Stakeholders  | Timing  | Responsibility                                 | Communication / Media tool  | Opportunity for Stakeholder Comment   | Status   |
|-----|---|---|---|--|---|---|--|
| 1.4 | <p>Notify stakeholders over the commencement of the Occupation permit process and provide opportunities for comments.</p> <p>Disclose the decision and relevant documentation on the Occupation permit and provide an opportunity for comments.</p> | <p>Concerned authorities and organisations, Concessionaire, Public.</p> | <p>TBC (in accordance with law)</p>   | <p>MoT, Concessionaire Building authority.</p> | <p>Official Public notice.<br/>Official correspondence.<br/>Noticeboards and website of the building authority and the affected city districts and villages.<br/>Project website.<br/>CLO office.<br/>Concessionaire prepares documentation and submits the application for the Occupation Permit process. Building authority then notifies stakeholders and discloses the decision.<br/>MoT discloses the Occupation Permits on the Project website in the same way as the Zoning Permits.</p> | <p>Enquiries, comments in accordance with law; contact information of the building authority.<br/>Response in accordance with law via official correspondence.<br/>Appeals to the building authority.</p> | <p>FOPs for all sections were issued during 2022, including FOP for O&amp;M centre. All of the FOPs are valid except for D4-1 and D4-2.</p>  |
| 1.5 | <p>Disclose Cumulative Impact Assessment (CIA), Biodiversity Management Plan (BMP), Visual Impact Assessment (VIA) and update on the bridge design selection.</p>   | <p>All stakeholders.</p>  | <p>During the preparation of the Notification of Change and Change of Building Permit documentation</p> | <p>Concessionaire</p>                          | <p>Concessionaire website and premises of municipalities.<br/>CLO office.</p>   | <p>Concessionaire website, Project grievance mechanism.<br/>Concessionaire/CLO contact information.</p>   | <p>BMP is disclosed at Project website.<br/>CIA and VIA were disclosed as a part of Notification of changes of design documentation for the Section 1: D4 Jarovce - Ivanka Sever (NoC No. 3)</p> |

|     |   |  |                        |                |   |  |   |
|-----|---|--|------------------------|----------------|---|--|---|
| 1.6 | Disseminate information about anticipated construction activities to affected stakeholders. | Affected communities, Project affected persons (e.g. land owners, vulnerable groups etc.), Public. | Prior to construction. | Concessionaire | Concessionaire website, official correspondence, mass media, local noticeboards and premises of municipalities. CLO office. | Concessionaire website, Project Grievance Mechanism. Concessionaire/CLO contact information. | There is active communication about traffic updates via these channels:<br><i>Social media</i> – either by regular posts, but as well by engaging with community – Q/A via social media<br><i>Cooperation with stella centrum-national</i> , integrated traffic information platform- traffic updates sharing<br>Cutting trees was announced to respective municipalities by official correspondence.<br>Construction is completed. |
|-----|---|--|------------------------|----------------|---|--|---|



| No.                         | Activity   | Stakeholders   | Timing  | Responsibility   | Communication / Media tool  | Opportunity for Stakeholder Comment   | Status  |
|-----------------------------|--|--|---|--|---|---|---|
| <b>2 Construction Stage</b> |  |  |   |  |   |   |   |
| 2.1                         | Keep stakeholders informed on any project or construction-related activities that might affect them (e.g. notify stakeholders of road closures and diversions and any transport disruptions, construction schedule, employment opportunities etc.)   | Road users, PAs, affected communities, public and private sector enterprises affected by the Project footprint etc., relevant local/regional/national authorities, General public. | On-going  | Concessionaire MoT and other relevant authorities as applicable.   | Concessionaire website, mass media, local noticeboards, municipality premises, Project construction sites. CLO office.  | Via Project grievance mechanism<br>Via the Concessionaire website, Concessionaire, CLO, contact information of relevant authorities<br>As envisaged by law.       | Concessionaire and EPC Contractor had set up a website ( <a href="http://www.d4r7.com">www.d4r7.com</a> ) where all the documentation of the project that was required to be publicly available were uploaded. All relevant information were published at Project's website and social media.<br><br>As for the transport disruptions, there was a special category "traffic" crated, for a quick and user-friendly access, which is even accessible directly from the home page.<br><br>Web page is currently shut down as the construction is completed.<br><br>The information of project is kept in Concessionaire website<br><a href="https://www.d4r7-obchvatnula.com">https://www.d4r7-obchvatnula.com</a> |
| 2.2                         | Implement stakeholder engagement and information disclosure activities for specific project-affected groups outlined in the Land Acquisition and Resettlement Framework under the Concessionaire responsibility (LARF, Annex 1: LARF Action Plan and Table 10-: LARF Related Engagement Plan). | Project Affected Persons/ Households, Concessionaire, Relevant authorities (MoT, NDS, Water Authorities, Municipalities etc.   | TBC.<br>Relevant actions for Construction Stage to be duly scheduled. | MoT, NDS, Concessionaire where applicable.<br>Relevant authorities (Water Authorities, Municipalities etc.) where applicable | Notices and updates on the Project and Concessionaire website.<br>CLO office.<br>Direct meetings with PAs.<br>Public notice and official correspondence by concerned authorities and organisations.<br>Noticeboards and website of relevant authorities and municipality premises in the affected city districts and settlements. | Enquiries, statements, objections, comments via the Project and Concessionaire website, CLO, contact information of relevant authorities.<br>Grievance mechanism. | Concessionaire has communicated with houseboats owners by official correspondence. All houseboats affected by project relocated to new locations – matter closed.<br><br>Earthwork department of EPC Contractor agreed with agricultural companies on treatment of surplus of topsoil.<br><br>ESMP is disclosed at Project's website.   |

| No.      | Activity   | Stakeholders  | Timing   | Responsibility   | Communication / Media tool   | Opportunity for Stakeholder Comment   | Status  |
|----------|--|---|--|--|--|---|---|
| 2.3      | Disclose information on project Environmental and Social construction performance.           | PAPs,<br>General Public.  | Annually   | Concessionaire / CLO   | Concessionaire website.<br>CLO office.   | Via Project grievance mechanism.<br>Via Concessionaire/CLO contact information. | Annual Environmental Report kept at EPC Contractor site.  |
| <b>3</b> | <b>Operational phase</b>   |   |  |  |  |   |   |
| 3.1      | Open communication channels for easy approach of Public and Road users to the Concessionaire | Authorities,<br>General Public,<br>Road users,<br>Concessionaire                          | Ongoing  | Concessionaire   | Telephone (24/7)<br>Contact formulaires available at website <a href="https://www.d4r7-obchvatnula.com/kontakt/">https://www.d4r7-obchvatnula.com/kontakt/</a><br>Complaint formulaires available at website: <a href="https://www.d4r7-obchvatnula.com/wp-content/uploads/2020/07/Tlaci_vo_pre_podavanie_podnetov-2.pdf">https://www.d4r7-obchvatnula.com/wp-content/uploads/2020/07/Tlaci_vo_pre_podavanie_podnetov-2.pdf</a><br>Facebook page: <a href="https://www.facebook.com/D4R7Obchvatnula">https://www.facebook.com/D4R7Obchvatnula</a><br>Email address: <a href="mailto:zbdoccontrol@obchvatnula.com">zbdoccontrol@obchvatnula.com</a> | Via online formulaires,<br>Via Concessionaire contact information               | Complaints are answered regularly.<br>Important information are shared via webpage and Facebook page to all subscribers.  |
| 3.2      | Environmental monitoring   | Concessionaire,<br>Ministry of Environment,<br>Ministry of Transport,<br>District offices | Ongoing according to the Environmental monitoring plan | Concessionaire   | Reports are submitted to the relevant offices according to the requirements,<br>SQE office   | Via official communication using Concessionaire contact information             | Environmental monitoring is carried out according the monitoring plan, Concessionaire receives reports without any major deficiencies or exceedances caused by operation of D4R7            |
| 3.2      | Regular coordination and update meetings   | Ministry of Transport,<br>Ministry of Environment,<br>Sponsors' experts,                  | Ongoing, meetings are planned according to the         | Concessionaire and other involved authorities according to relevancy | Microsoft Teams, conference room of the involved party   | Directly during the meeting, Minutes of Meeting                                 | Meetings are planned according to the current situation and to fulfil latest needs of the project and they are usually held live in one of the involved party's conference rooms or online. |



**STAKEHOLDER ENGAGEMENT PLAN**

– 2022 –

**D4/R7 HIGHWAY PPP PROJECT**

| No. | Activity | Stakeholders   | Timing            | Responsibility | Communication / Media tool | Opportunity for Stakeholder Comment | Status |
|-----|----------|--|-------------------|----------------|----------------------------|-------------------------------------|--------|
|     |          | Shareholders, Providers representatives, Project lenders, Traffic Police officials, Police Presidium officials, Firefighters officials, National Motor Company managers, regional transport officials, Slovak Road Association, Employee representatives | current situation |                |                            |                                     |        |